

Objectives

- How and why risk adjustments are used in quality reporting
- · Changes to the risk adjustment model with OASIS-D
- Top and bottom covariates in the OASIS-D risk model
- Impact analysis and insights on the OASIS-D risk model
- · How should you use this information?

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	How and why risk adjustments are used in quality reporting	
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Risk Adjustment - Why is it done?

- The basic purpose of risk adjustment is to ensure a fair comparison of outcomes by taking into consideration patient characteristics at the start of a home care quality episode that may affect the likelihood of specific outcomes during this episode
- Used for OBQI improvement outcomes and the OASIS-based Discharged to Community utilization measure
- · Not used for process measures
- · Each outcome has a unique risk model
- Outcomes scores include Medicare, Medicare Advantage, Medicaid and Medicaid HMOs payers
- · Only exception is Claims-based measures

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Risk Adjustment - How is it done?

- A predicted value for a specific outcome was computed based on an analysis of the relationships between that outcome and its multiple risk factors in the reference group of patients
- A formula was then developed that expressed the probability of the outcome as a mathematical function of the most significant risk factors
- Using this formula for each of a specific agency's patients, the predicted value for the agency's rate on a specific outcome measure can be calculated
- The actual outcome rate achieved by the agency (its current observed value) is then compared to the national reference value

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Risk Adjustment - In English Please??

An adjustment made to your outcome scores by comparing your patient characteristics to national averages.

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Risk-Ad	liustment	t Step-b	v-Ster
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- 1. Observed outcome rate is calculated for all eligible patients
 - Agency(observed) = (# achieving outcome)/(# eligible for outcome)
- For each of the same patients, a predicted outcome is calculated based on statistical risk model and patient condition at SOC/ROC
- 3. Predicted outcomes are averaged across all the patients served in a 12 month period
 - Agency(predicted) = (Sum of predicted probability)/((# eligible for outcome)
- National observed and predicted rates are calculated aggregating across all eligible patients served by any HHA
- Agency rate is risk adjusted by adding to the observed rate the difference between the national predicted rate and agency predicted

Agency(risk adjusted) = Agency(observed) + (National(predicted) - Agency(predicted))

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Risk Model using Logistic Regression

- Logistic regression is a statistical technique commonly used to analyze the relationship between multiple predictors (In this case, risk factors) and a yes/no outcome (In this case, improved/not-improved)
- Using this technique, a predictive model was constructed for each outcome based on an analysis of risk factors and outcomes using reference group data
- The predictive model is a mathematical formula that reflects the influence of multiple **risk factors** on a particular outcome



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Risk Factors and Covariates

- OASIS risk factors are patient characteristics identified at SOC or ROC
- Each risk factor has multiple covariates, each with an associated coefficient value that that can either raise or lower the likelihood of the patient improving for the outcome in question
 - > Note: We will be presenting the coefficients as probabilities so that it's easier to interpret the potential impact of each covariate.
- The higher the probability value for a risk factor (e.g. over 50%), the more likely the patient is to improve if the risk factor is present, whereas a lower value (e.g. - below 50%), indicates that the specified risk factor makes the patient less likely to improve

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• Exam (conve	ple: Below a erted to prol he largest p	nd Covariates: Example are top and bottom risk-factor pabilities) for the Ambulation opositive and negative impact is to improve in Ambulation:	covariate utcome t	hat	
Risk Fact	or Covariate	Covariate Detail	Coefficient	Probability	
Ambulatio	n AMB3	M1860 = 3 (Able to walk only with the supervision or assistance of another person at all times.)	2.2677	90.69	
Age	AGE_95PLUS	Age = 95+	-0.6206	35.09	
increa patien	se the prob	ting of "3" for Ambulation at SC pability of the patient improving d 95 or older would lessen the ulation	, wherea	as a	

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Predicted Improvement Scores The values for each risk factor present for a specific patient are aggregated and contribute to a single predicted improvement score for the patient The higher the predicted improvement score, the more likely that the patient is to improve, and vice versa The predicted improvement scores for each individual patient are used to calculate your agency predicted score Therefore, having a large population of patients with patient predicted values that are higher than the national predicted score will result in your risk-adjusted score being lower than your observed score, and vice-versa

	vay Re	garding Risk-Factors			
What o	loes this	tell us about risk-adjustment?			
by far t	hat caus	the HHC outcomes, the single bi es your final risk-adjusted score to f the rating for the outcome at SO	be lowe		
Outcome	Risk Factor	Covariate Detail	Coefficient F	robability	
Ambulation	Ambulation	M1860 = 3 (Abie to walk only with the supervision or assistance of another person at all times)	2.2677	90.6%	
Bathing	Bathing	M1830 = 6 (Unable to participate effectively in bathing and is bathed totally by another person)	3,0383	95.4%	
Bed Transferring	Transferring	M1850 = 3, 4, or 5 (Unable to transfer self and is unable to bear weight or pivot when transferred by another person OR Bedfast)	3.0747	95.6%	
Pain	Pain	M1242 = 4 (All of the time)	1.6770	84.3%	\\
Dyspnea	Dyspnea	M1400 = 3 or 4 (With minimal exertion or with agitation OR At rest during day or night)	1.5293	82.2%	
Oral Meds	Oral Medication Management	M2020 = 3 (Unable to take medication unless administered by another person)	1.2751	78.2%	
Oral Meds	Therapy	M2200 > 13 (More than 13 therapy visits planned)	0.5192	62.7%	

Changes to the risk adjustment model with OASIS-D	
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CY 2019 OASIS-D

- Effective January 2019, 70 data elements from 24 OASIS items are no longer collected at SOC/ROC
- CMS was required to recalibrate the risk adjustment model to include only OASIS items that will be present on OASIS-D
- ► Removed M-Items Included:
- Frequency of ADL/IADL Assistance: At least daily
- Conditions Prior to Treatment: Intractable pain
- · Prior Functioning: Needed assistance with transfer
- Use of Telephone: Able to make and answer calls
- · Patient Overall Status: Serious progressive conditions

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CMS Activities to Update Models

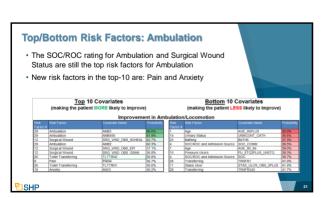
- Reviewed model risk adjustment factor (covariate) definitions to identify those not supported by OASIS-D
- Refined additional risk adjustment factors as needed, based on statistical, clinical and other input
- Recalibrated risk adjustment model parameters using revised risk factors
- Conducted clinical and technical reviews to retain risk adjustment factors that were statistically and clinically meaningful
- ▶ Tested new risk adjustment model performance against current models

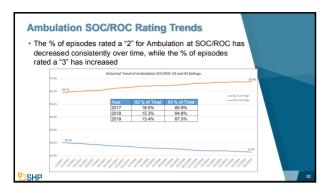
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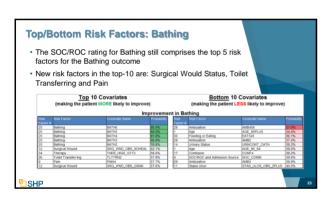
Risk Factors: Old Model vs. New Model • In general, there are more risk factors used for each outcome under the new model, with the exception of the Surgical Wound Status outcome, which has 18 less risk factors under the new model Ambulation 102 122 20 Bathing 114 122 Bed Transferring 116 99 Dyspnea 83 106 23 Oral Meds 119 121 Surgical Wounds 78 60 -18

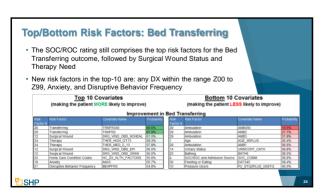
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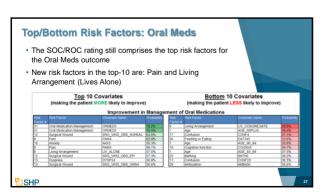






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hav	e been condens	ed and simplif	fied	therapy buckets		
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Factor	N .		Facto	W.		
34	Therapy	THER HIGH GT13	62.7% 4	SOC/ROC and Admission Source	SOC_COMM	41.2%
1	Age	AGE_95PLUS	58.5% 12	Surgical Wound	SRG_WND_OBS_GRAN	42.2%
34	Therapy	THER_MED_5_13	57.8% 29	Ambulation	AMB456	43.7%
1	Age	AGE_90_94	56.3% 35	Home Care Condition Codes	HC_DX_GEN_URINARY	44.0%
1	Age	AGE_85_89	56.2% 3	Payment source	PAY_OTHER_COMBO	45.0%
35	Home Care Condition Codes	HC DX HLTH FACTORS	56.1% 35	Home Care Condition Codes	HC DX NEOPLASM	45.1%
8	Living Arrangement	LIV_CONGREGATE	55.6% 6	IV Therapies	NTHER_ANY	45.3%
	Grooming	GROOM2	55.0% 15	Bowel Incontinence	BWL_FR345	45.6%
100	Tollet Hygiene Tollet Hygiene	TLTHYG3 TLTHYG2	54.8% 15	Home Care Condition Codes	HC DX SKIN	45.6%
	Tollet Hygiene	TLTHYG3	54.8% 15	Bowel Incontinence	BWL_OSTOMY	45.6

Impact analysis and insights on the OASIS-D risk model	
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Patient Predicte	ed Analysis			
To demonstrate the below looks the ave assessments from 2 1/2019 to 9/2019 As noted in the last have gone up for all	rage patient pred 2018 vs. SOC/RO column, the aver	licted rates for C assessmen	SOC/ROC ts from	
Outcome	1/2018 - 12/2018	1/2019 - 9/2019	Change (+/-)	
Ambulation	72.7%	78.0%	♠ 5.3%	
	72.7% 72.1%	78.0% 78.0%	♠ 5.3% ♠ 5.9%	
Ambulation				
Ambulation Bathing	72.1%	78.0%	♠ 5.9%	
Ambulation Bathing Bed Transferring	72.1% 74.4%	78.0% 80.5%	↑ 5.9%↑ 6.1%	
Ambulation Bathing Bed Transferring Pain	72.1% 74.4% 69.5%	78.0% 80.5% 77.1%	№ 5.9%№ 6.1%№ 7.6%	

National Predicted Scores under OASIS-D

- ► Remember the calculation:
- ► SHP calculates the <u>Agency's</u> observed and predicted scores based on the covariate logic provided by CMS
- ▶ Due to the fact that the National Predicted rates for each outcome are not published by CMS, they must be calculated each quarter by SHP (for 12 months ending)
- ▶ SHP calculates a National Predicted rate from the SHP national database, and incorporates the most-recent publicly reported Home Health Compare data from CMS in order to help adjust for any differences between the SHP and CMS national benchmarks

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National Predicted Scores under OASIS-D

- Our hope is that CMS will be posting risk-adjusted scores based on the new OASIS-D risk model in early 2020, which would include outcome data from April 2018 March 2019
- Once available, SHP will use the OASIS-D portion of the data to calibrate 12-month SHP-only National Predicted rates for each publication period that includes outcomes in 2019
- ▶ Due to this gap in data, and in order to avoid multiple changes to your risk-adjusted scores, we chose to wait until 2020 to make changes to the national predicted component of the risk-adjustment calculation
- ▶ Keep in mind that trends including both OASIS-C2 and OASIS-D data may show a decline in risk adjusted scores starting in early 2019 that will be adjusted once a National Predicted rate that includes OASIS-D can be calculated.

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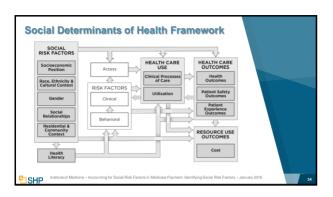
National Predicted Scores under OASIS-D

- ▶ Tracking your observed scores trends will be important
- ► Comparing your percentile rank for both observed and risk adjusted scores will reflect performance against your peers

Reminder: R	lisk adjustment is d	calculated the	same way t	or all
providers				
E SHP	Clinical Executive Advantage		Standard, 05/01/2018 - 94	382015, OFM

		Impro	ved			Declined		Yourn	Ranking
M Concerns: American	Eligible			Tink Adjusted	Digitie		%	Observed	Flok Adjusted
Enterprise	7,975	6,368	79.8%	66.9%	8,534	82	1.8%	88%	77%
HP National Database			67.6%				2.4%		
Aperior Home Core of Ventura	1,304	1,100	64.6%	70.0%	1,390	12	0.9%	98N	\$1%
Enterprise (CA)	1394	1183	84.8%	70.8%	1300		6.8%		
94P Database (CA)			71.3%				1.0%		
Superior Home Care of Scottsdale	40	31	72.1%	62.2%	-60	2	4.1%	70%	42%
Superior Home-Care of Phoenix	3,094	2,812	78.2%	94.6%	3,297	34	1.1%	81%	54%
uperior Home Care of Plagstaff	262	250	88.7%	75.7%	253	1	0.4%	98%	54%
uperior Home Care of Gilbert	829	650	73.4%	72.3%	964	6	0.7%	95%	89%
luperior Home Care of Mosa	1,960	1,000	81.3%	72.3%	2,110	23	1.1%	98%	89%
							1.8%		
			71.0%				1.9%		

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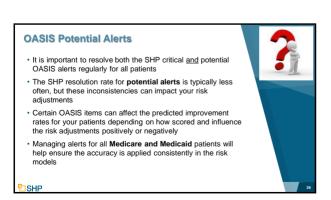


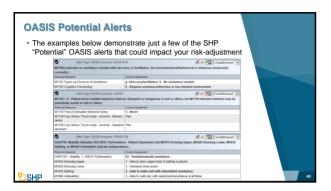
Social Determinants of Health Framework Additional Social Risk Factors are being proposed as new questions on OASIS-E A1250 – Transportation Has lack of transportation kept you from medical appointments, meetings, work, or from getting things needed for daily living? B1300 - Health Literacy How often do you need to have someone help you when you read instructions, pamphlets, or other written material from your doctor or pharmacy? D0700 – Social Isolation How often do you fell lonely or isolated from those around you?

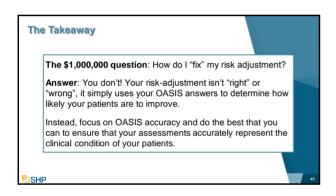


OASIS Accuracy OASIS accuracy is key to financial success Outcomes can only improve when SOC assessment accurately reflects patient frailty and disability Enhance OASIS education Repeat education at specified intervals Validate knowledge received and retained Utilize OASIS Q & As

ASIS Scru	ıbbing			
lave OASIS re ASIS scrubbi	eview staff and clinicians ng alerts	review and reso	olve	
rack and mon	itor alert utilization			
	ne alerts to proactively id nd verify OASIS accurac		ient	
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Assessment 99256 Patient Johns Patient II 101224 Age: 69 (tri Telchushi? No	nd verify OASIS accuracy 1015 (94) Recent View Revenue CEESS 101, Julie Circles SMITH, 155 Care My SMITH, 156 Terror GRIEB 17950) Physician DOCTO	A, JANE I, JANE N DR, JOHN	(A) DX exvident0 59595 SHP# 1234567	









Thank You for Attending!	Demystifying Home Health Risk Adjustments	
Chris Attaya VP of Product Strategy cattaya@shpdata.com	(OASIS D Update)	
Zeb Clayton VP of Client Services zclayton@shpdata.com		
	Winning Wednesday Webinar Series P SHP 43	